2nd March, 2001

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GOVERNMENT OF ZAMBIA

STATUTORY INSTRUMENT NO. 31 OF 2001

The Securities Act (Laws, Volume 20, Cap. 354)

The Securities (Licensing, Fees and Levies) (Amendment) Rules, 2001

IN EXERCISE of the powers contained in section *seventy-eight* of the Securities Act, the following Rules are hereby made:

1. The Rules may be cited as the Securities (Licensing, Fees and Levies) (Amendment) Rules, 2001 and shall be read as one with the Securities (Licensing, Fees and Levies) Rules, in these rules referred to as the principal Rules.

Cap. 354

Title

2. The Third Schedule to the principal Rules is amended in paragraph 8 by the addition of the subparagraph set out in the Appendix to these Rules.

Amendment of Third Schedule

Copies of this Statutory Instrument can be obtained from the Government Printer, P.O. Box 30136, 10101 Lusaka. Price K500 each.

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Statutory Instruments

2nd March, 2001

APPENDIX (Rule 2)

Addition to paragraph 8 of Third Schedule

Fees (h) (i) Authorisation of a takeover or merger transaction Fee Units 0.25% of the value of a takeover or merger ransaction subject to a minimum of 85,000 fee units and a maximum of 555,556 fee units 85,000

(ii) grant of waiver under clause 56

Made by the Securities and Exchange Commission this 1st day of March, 2001.

E. JHALA, Chairperson

M. HANTUBA, Member

N. K. MUTUNA, *Member*

LUSAKA 1st March, 2001 [MF.101/41/4]